

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Graham POOR

Application No./Patent No.: 09/872,485 Filed/Issue Date: May 31, 2001

Entitled: **System and method for proxy-enabling a wireless device to an existing IP-based service**

Orative Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

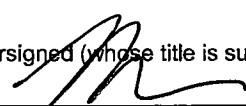
1. From: Margaret M. MAHONEY and Graham V. POOR To: Bonita Software, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 012239, Frame 0686, or for which a copy thereof is attached.
2. From: Harden, Holmes P., as Trustee for Bonita Software, Inc. To: Orative Corporation
The document was recorded in the United States Patent and Trademark Office at
Reel 015166, Frame 0279, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature
Mallun Yen

Printed or Typed Name

August 30, 2007

Date

408.526.4000

Telephone Number

Vice President, Orative Corporation

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.





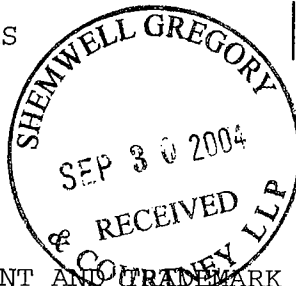
UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 23, 2004

RICHARD L. GREGORY, JR.
4880 STEVENS CREEK BLVD.
SUITE 201
SAN JOSE, CA 95129

PTAS



102713140A

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/01/2004

REEL/FRAME: 015166/0279
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HARDEN, HOLMES P., AS TRUSTEE FOR
BONITA SOFTWARE, INC.

DOC DATE: 03/17/2004

ASSIGNEE:

ORATIVE CORPORATION
ONE ALMADEN BOULEVARD
SUITE 300
SAN JOSE, CALIFORNIA 95113

SERIAL NUMBER: 09872485

FILING DATE: 05/31/2001

PATENT NUMBER:

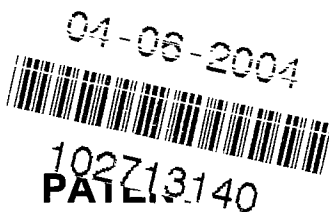
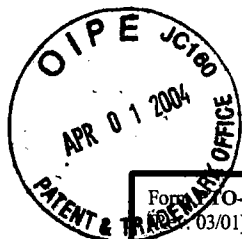
ISSUE DATE:

TITLE: SYSTEM AND METHOD FOR PROXY-ENABLING A WIRELESS DEVICE TO AN
EXISTING IP-BASED SERVICE

DOCKETED

015166/0279 PAGE 2

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



Form O-1595
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): 4.1.04

Holmes P. Harden as Trustee for
Bonita Software, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: March 17, 2004

2. Name and address of receiving party(ies)

Name: Orative Corporation

Internal Address: _____

Street Address: One Almaden Boulevard

Suite 300

City: San Jose State: CA Zip: 95113

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/872,485

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard L. Gregory, Jr.

Internal Address: _____

Street Address: 4880 Stevens Creek Blvd.

Suite 201

City: San Jose State: CA Zip: 95129

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

501914

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard L. Gregory, Jr.

Name of Person Signing

[Signature]
Signature

3-26-2004

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

14/05/2004 MGETACHE 00000073 09872485

21 FC:8021

40.00 OP

Attorney Docket No.: ORTV.P003PATENTASSIGNMENT

In consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Holmes P. Harden, the undersigned Assignor, believing to be the Trustee for Bonita Software, Inc. of all rights disclosed in the application for the United States patent entitled

**SYSTEM AND METHOD FOR PROXY-ENABLING A WIRELESS DEVICE TO AN
EXISTING IP-BASED SERVICE**

hereby sell, assign, and transfer to Orative Corporation, a Delaware corporation, having a principal place of business at Third Floor, One Almaden Boulevard, San Jose, California 95113-2246, ("Assignee"), and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements that are disclosed in the same patent application

X which was filed with the United States Patent and Trademark Office on May 31, 2001, and which was assigned Application Number 09/872,485

_____ which has been executed by the undersigned prior hereto or concurrently herewith on the dates indicated below.

The undersigned further sell, assign, and transfer to Assignee said application and all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original patents, reissued patents, reexamination certificates, and extensions, that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application; and the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

The undersigned further agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements

Atty. Docket No. ORTV.P003

. 1 .

and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives.

The undersigned covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

The undersigned hereby authorize and request the Officials of the United States Patent and Trademark Office and any and all foreign Patent Offices to issue any and all Letters Patents, when granted, to said Assignee as the assignee of the entire right, title and interest in and to the same, for the sole use of said Assignee, its successors and assigns, to the end of the full term for which said Letters Patents may be granted.

The undersigned hereby grant to the practitioners at Customer Number 30554, the Customer Number of Shamwell Gregory & Courtney LLP, the power to insert on this document any further identification necessary or desirable to comply with the rules of the United States Patent and Trademark Office for recordation of this Assignment.

Assignor: Please Sign and Date Below:

March 17, 2004
Date

[Signature] Attorney for
Name: Holmes P. Harden, Trustee



DECEMBER 17, 2001

NEEDLE & ROSENBERG
LAWRENCE D. MAXWELL
SUITE 1200, THE CANDLER BUILDING
127 PEACHTREE STREET, N.E.
ATLANTA, GEORGIA 30303-1811

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NEEDLE & ROSENBERG

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 10/09/2001

REEL/FRAME: 012239/0686
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
MAHONEY, MARGARET M.

DOC DATE: 09/06/2001

ASSIGNOR:
POOR, GRAHAM V.

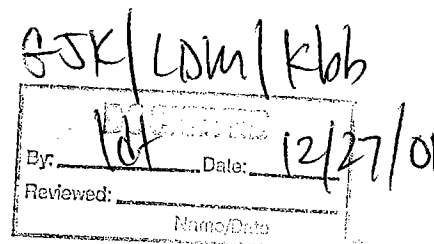
DOC DATE: 09/06/2001

ASSIGNEE:
BONITA SOFTWARE, INC.
3501 AERIAL CENTER PKWY, STE 111
MORRISVILLE, NORTH CAROLINA 27560

SERIAL NUMBER: 09872485
PATENT NUMBER:

FILING DATE: 05/31/2001
ISSUE DATE:

DIANE RUSSELE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



FORM PTO-1595
(Revised 6-93)

10-9-01

RECO



10-16-2001

101874828

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Patent and Trademark Office

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMA

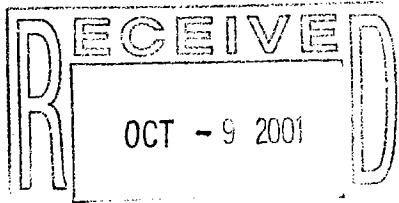
Please record the attached original documents or copy thereof.

ATTORNEY DOCKET NO.: 02054.0002U1

1. Name of conveying party(ies): Margaret M. Mahoney and Graham V. Poor

Additional names of conveying party(ies) attached?

☐ YES ☒ NO



2. Name and address of receiving party(ies):

Name: Bonita Software, Inc.

Internal Address:

Street Address: 3501 Aerial Center Pkwy, Ste 111

City: Morrisville

State: NC

Zip: 27560

Additional name(s) and address(es) attached?

☐ YES ☒ NO

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Other

☐ Security Agreement

☐ Change of Name

Execution Date: September 6, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 09/872,485

B. Patent No.(s):

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Lawrence D. Maxwell, Esq.
NEEDLE & ROSENBERG, P.C.
Suite 1200, The Candler Building
127 Peachtree Street, N.E.
Atlanta, Georgia 30303-1811
(404) 688-0770

6. Total number of applications and patents involved:
1

7. Total fee (37 CFR 3.41):

\$40.00

☒ Enclosed

☐ Authorized to be charged to Deposit Account.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 14-0629.

10/16/2001 GTOW11 00000015 09872485

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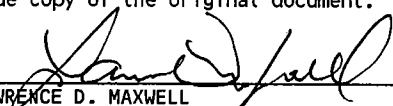
40.00

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8. Deposit account number: 14-0629
=====

(Attach duplicate copy of this form if paying by deposit account)

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.



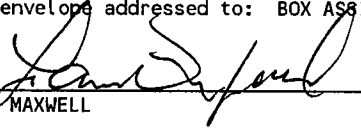
LAWRENCE D. MAXWELL
Reg. No. 35,276

10-3-01

Date

Total Number of Pages Including Cover Sheet, Attachments, and Document: 5

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX ASSIGNMENT, Commissioner for Patents, Washington, D.C. 20231, on the date noted below.



LAWRENCE D. MAXWELL

10-3-01

Date

ASSIGNMENT

WHEREAS, we, GRAHAM V. POOR and MARGARET M. MAHONEY both of 602 East Lane Street, Raleigh, North Carolina 27601, U.S.A., have invented new and useful improvements in a **“SYSTEM AND METHOD FOR PROXY-ENABLING A WIRELESS DEVICE TO AN EXISTING IP-BASED SERVICE”** for which a United States utility patent application was filed on May 31,2001, Serial No. 09/872,485; and

WHEREAS, BONITA SOFTWARE INC. whose post-office address is 2501 Aerial Center Parkway, Suite 111, Morrisville, North Carolina, 27560, U.S.A., a Delaware corporation, is desirous of acquiring the entire interest in the same;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, we, Margaret M. Mahoney and Graham V. Poor, hereby do sell, assign and transfer unto said BONITA SOFTWARE INC., the entire right, title and interest in and to said invention and application throughout the world, including, without limitation, any Letters Patent which may issue thereon, and any subsequent application claiming priority to the above-identified application under 35 U.S.C. § 119(e), reissue, reexamination, division, continuation-in-part, extension or continuation thereof and all rights of priority under the International Convention arising from said application; the same for its legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment and sale not been made;

AND, we hereby bind ourselves, our heirs, legal representatives, administrators and assigns properly to execute without further consideration any and all applications, petitions, oaths and

assignments or other papers and instruments which may be necessary in order to carry into full force and effect, the sale, assignment, transfer and conveyance hereby made or intended to be made and generally do everything possible to aid BONITA SOFTWARE INC., its legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries throughout the world.

IN WITNESS WHEREOF, I have executed this Assignment this 6 day of Sept, 2001.

Graham V. Poor (L.S.)
GRAHAM V. POOR

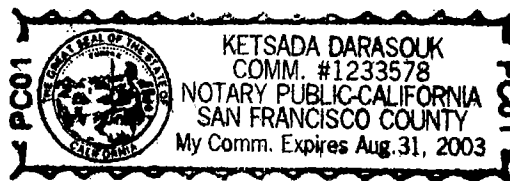
State of California

County of San Francisco

On this 6 day of September, 2001, before me, a Notary Public, came Graham V. Poor, to me known and known to be the individual described in and who executed the foregoing assignment, and she duly acknowledged the same to be her free act and deed.

Ketsada Darasouk
Notary Public

My Commission Expires: August 31, 2003



IN WITNESS WHEREOF, I have executed this Assignment this 6 day of Sept, 2001.

Margaret M Mahoney (L.S.)
MARGARET M. MAHONEY

State of California

County of San Francisco

On this 6 day of September, 2001, before me, a Notary Public, came Margaret M. Mahoney, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.

Ketsada Darasouk
Notary Public

My Commission Expires: August 31, 2003

